## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on May 6, 1999.

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ivarie et al.

Application No: 09/173,864

October 16, 1998

: Group Art Unit: 1632

: Examiner:

Kaushal, S.

Title: Novel Vectors in Avian Transgenesis

Assistant Commissioner for Patents Washington, DC 20231

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Sir:

Filed:

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## TRANSMITTAL LETTER FOR SUPPLEMENTATION INFORMATION DISCLOSURE STATEMENTER

Transmitted herewith for filing in the above-entitled patent application is a Supplemental Information Disclosure Statement, in compliance with 37 CFR 1.97, with referenced document 1-4, each of these references was cited in the International Search Report for a counterpart PCT application. A copy of the International Search Report is enclosed. Also included is a return postcard.

- The Information Disclosure Statement is being submitted: (a) within three months of the filing date, [X] or (b) before the mailing date of the first Office action on the merits. Therefore, no fee is due under 37 CFR 1.97(b).
- [1 The Information Disclosure Statement is submitted more than three months after the filing date and after the mailing date of the first Office action on the merits, but, before a final action under § 1.113 or a notice of allowance under § 1.311. Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office on a counterpart foreign application not more than three months prior to the filing of the Statement. Therefore, no fee is due under 37 CFR 1.97(c).
- [] The Information Disclosure Statement is submitted more than three months after the filing date and after the mailing date of the first Office action on the merits, but, before a final action under § 1.113 or a notice of allowance under § 1.311. Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(2) that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a foreign counterpart application, or, to the undersigned Attorney's knowledge after making reasonable inquiry, was known to any person designated in

§1.56(c) more than three months prior to the filing of the Statement. Therefore, no fee is due under 37 CFR 1.97(c).

## **Deposit Account Authorization**

- [] The Information Disclosure Statement is submitted more than three months after the filing date and after the mailing date of the first Office action on the merits, but, before a final action under \$1.113 or a notice of allowance under \$1.311, without certification under \$7 CFR 1.97(e). Therefore, only if this entry is checked in the left margin, the \$240.00 fee set forth in \$1.17(p) is authorized below.
- [] The Information Disclosure Statement is submitted after the mailing date of a final action under § 1.113 or a notice of allowance under § 1.311, but before payment of the issue fee.
  - [] Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office on a counterpart foreign application not more than three months prior to the filing of the Statement.
  - [] Applicant(s)' attorney hereby certifies under 37 CFR 1.97(e)(2) that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a foreign counterpart application, or, to the undersigned Attorney's knowledge after making reasonable inquiry, was known to any person designated in §1.56(c) more than three months prior to the filing of the Statement.

Applicant(s) hereby petition requesting consideration of the Information Disclosure Statement. Therefore, only if this entry is checked in the left margin, the \$240.00 petition fee set forth in \$1.17(i)(1) is authorized below.

- Please charge \$\_\_\_\_\_ to Deposit Account No.\_\_\_\_\_.
- [X] The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Account No. 08-1641. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,

Date: May 6, 1999

Alicia J. Hager Reg. No. 44,140

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